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|---|---|----|--------------------------|------------------------|--------------|
| Substitute for form 1449A/B/PTO | | | Complete if Known | | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary) | | | Application Number | 10/518164 | |
| | | | Filing Date | December 15, 2004 | |
| | | | First Named Inventor | Hermanus Van Bakel | |
| | | | Art Unit | Not Yet Assigned | |
| | | | Examiner Name | Not Yet Assigned | |
| Sheet | 1 | of | 2 | Attorney Docket Number | ASZD-P01-019 |

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|-----------------------|-----------------------|---|--------------------------------|--|---|
| Examiner Initials* | Cite No. ¹ | Document Number Number-Kind Code ² (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
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| | BG | EP-1 024 139 | 08-02-2000 | Kaneka Corporation | | |
| | BH | GB-885,516 | 12-28-1961 | Arthur Henry Clarkson | | |
| | BI | WO-91/13876 | 09-19-1991 | Rhone-Poulenc Rorer Limited | | |
| | BJ | WO-93/06235 | 04-01-1993 | Imperial Chemical Industries PLC | | |
| | BK | WO-96/31615 | 10-10-1996 | The Scripps Research Institute | | |
| | BL | WO-99/57109 | 11-11-1999 | Kaneka Corporation | | |

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| NON PATENT LITERATURE DOCUMENTS | | | |
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| Examiner Initials | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
| 20 | CA | Advanced Organic Chemistry, Reactions, Mechanisms and Structure, p. 392 (1992). | |
| | CB | Barry et al., "Easy and Efficient Anion Alkylations in Solid-Liquid PTC Conditions," Tetrahedron Letters 23(51):5407-5408 (1982). | |
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| Examiner Signature |  | Date Considered | 10/27/04 |
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| INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i> | | | | Application Number | 10/518164 |
| | | | | Filing Date | December 15, 2004 |
| | | | | First Named Inventor | Hermanus Van Bakel |
| | | | | Art Unit | Not Yet Assigned |
| | | | | Examiner Name | Not Yet Assigned |
| Sheet | 2 | of | 2 | Attorney Docket Number | ASZD-P01-019 |

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| CE | Chevallet, P., et al., "Facile Synthesis of Tert-Butyl Ester of N-Protected Amino Acids with Tert-Butyl Bromide," <i>Tetrahedron Letters</i> , 34(46): 7409-7412 (1993). | |
| CF | Chikara et al., "Preparation of Optically Active 5,6-epoxyhexanoic Acid Esters as Materials for Physiologically Active Substances," <i>Chemical Abstracts</i> 118(11) (1993). | |
| CG | Crowther, G.P., et al., "Esterification of Hindered Alcohols: t-Butyl p-Toluate," <i>Org. Synth.</i> , 51:96-100 (1971). | |
| CH | Drugs of the Future, 24(5):511-513 (1999). | |
| CI | Inanaga, J., et al., "A Rapid Esterification by Means of Mixed Anhydride and Its Application to Large-ring Lactonization," <i>Bulletin of the Chemical Society of Japan</i> , 52(7):1989-1993 (1979). | |
| CJ | Murakami, M., et al., "2,4,6-Tripyridinio-1,3,5-Triazine Trichloride, a New and Mild Esterification Agent for Preparation of Penicillin Esters," <i>Heterocycles</i> , 31(11):2055-2064 (1990). | |
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| CL | Rayle, H.L., et al., "Development of a Process for Triazine-Promoted Amidation of Carboxylic Acids," <i>Organic Process Research & Development</i> , 3:172-176 (1999). | |
| CM | Sakaki et al., "Lipase-catalyzed Asymmetric Synthesis of 6-(3-Chloro-2-hydroxypropyl)-1,3-dioxin-4-ones and Their Conversion to Chiral 5,6-Epoxyhexanoates," <i>Tetrahedron: Asymmetry</i> 2(5):343-346 (1991). | |
| CN | Takeda, K., et al., "Dicarbonates: Convenient 4-Dimethylaminopyridine Catalyzed Esterification Reagents," <i>Synthesis</i> , 1063-1066 (1994). | |
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| CQ | Weissenfels, M., et al., "Acetate von Aminosauero-tert-butylestem," <i>Z. Chem.</i> , 12(7):264-265 (1972). | |
| CR | Ziegler, F.E., et al., "A Mild Method for the Esterification of Fatty Acids," <i>Synthetic Communications</i> , 9(6):539-543 (1979). | |

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

*Applicant's unique citation designation number (optional). *Applicant is to place a check mark here if English language Translation is attached.

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| Examiner Signature |  | Date Considered | 6/27/06 |
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INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Attorney Docket No.
056291-5263Application No.
10/518,164Applicants: Bakel Van *et al.*

Filing Date: July 25, 2005

Group Art Unit: 1625

PTO Form 1449
October 5, 2006**U.S. PATENT DOCUMENTS**

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| | 12. | WO 2004/014872 | February 19, 2004 | WIPO | | | |
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| | 23. | Morrison and Boyd "Alkaline hydrolysis of esters" Lehrbuch der Organischen Chemie, 2nd ed., Verlag Chemie, p. 739 (1978) (Translation enclosed). |
| | 24. | Presentation given at the 20th International Congress of Heterocyclic Chemistry in Palermo, 1st-5th August 2005 |
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Examiner

Date Considered

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